

## Mandatory IOLTA Compliance Certification

**MANDATORY IOLTA:** The North Carolina Supreme Court has ordered the North Carolina State Bar to implement a mandatory IOLTA program for lawyers in North Carolina, effective January 1, 2008. Under the revised rules, lawyers must certify annually when paying their NC State Bar dues that **all** general client trust accounts (those that hold short-term and nominal deposits) maintained by the lawyer/law firm are IOLTA accounts OR that they are exempt from the program because they do not maintain general client trust accounts for North Carolina client funds. **Lawyers must be in compliance with this requirement no later than June 30, 2008.** If you have questions regarding compliance, call NC IOLTA at 919-828-0477.

### Check A or B to make your REQUIRED IOLTA Certification!

When remitting for multiple lawyers, certification may be made for all lawyers by submitting a list of lawyers with bar numbers and general client trust account information.

**A. (  ) I certify that all of my firm's general client trust accounts are established as North Carolina IOLTA Accounts.**

**I have provided the trust account information below. For additional accounts, please attach a separate sheet.**

Account Name: \_\_\_\_\_ Account Name: \_\_\_\_\_

Acct. Number: \_\_\_\_\_ Acct. Number: \_\_\_\_\_

Bank Name: \_\_\_\_\_ Bank Name: \_\_\_\_\_

Account information for my firm \_\_\_\_\_  
(firm name)

was provided by \_\_\_\_\_  
(lawyer/administrator name)

**OR**

**B. (  ) I certify that my IOLTA status is exempt.** (I do not handle client funds because I am not in private practice or my law firm does not maintain general client trust accounts that include North Carolina client funds).

**NAME:**

**BAR Number:**